

---

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

---

In re application of: WU et al.

Attorney Docket No.:  
NSC1P287/P05765

Application No.: 10/731,965

Examiner: FLORES RUIZ, Delma R.

Filed: December 9, 2003

Group: 2828

Title: LASER TRIM AND COMPENSATION  
METHODOLOGY FOR PASSIVELY ALIGNING  
OPTICAL TRANSMITTER


Confirmation No.: 6419

---

**CERTIFICATE OF EFS-WEB TRANSMISSION**

I hereby certify that this correspondence is being transmitted electronically through EFS-WEB to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 17, 2007.

Signed: \_\_\_\_\_

  
Sue Funchess

**INFORMATION DISCLOSURE STATEMENT  
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE  
(37 CFR §§ 1.56 AND 1.97(c))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references identified in the attached PTO Form 1449, (copies of non-U.S. references are attached), may be material to examination of the above-identified patent application. Applicants identify these reference(s) in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make the identified reference(s) of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months from the filing date of this application,

whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

Accompanying this Information Disclosure Statement is the fee set forth in 37 CFR 1.17(p).

The Commissioner is hereby authorized to charge the IDS filing fee to Deposit Account 50-0388 (Order No. NSC1P287).

Respectfully submitted,  
BEYER WEAVER LLP



Francis T. Kalinski II  
Registration No. 44,177

P.O. Box 70250  
Oakland, CA 94612-0250  
(408) 255-8001

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	<b>Atty Docket No.</b>	NSC1P287/P05765
	<b>Application No.:</b>	10/731,965
	<b>Applicant</b>	Wu et al.
	<b>Filing Date</b>	December 9, 2003
	<b>Group</b>	2828
		Page 1 of 1

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A.	4,884,279	11/28/89	Odagiri			04/22/88
	B.	4,903,273	02/20/90	Bathe			02/17/88
	C.	5,245,690	09/14/93	Aida et al.			06/03/92
	D.	5,479,424	12/26/95	Sakuyama			06/15/94
	E.	5,761,230	06/02/98	Oono et al.			05/22/96
	F.	5,974,063	10/26/99	Yoshida			11/06/97
	G.	6,169,618 B1	01/02/01	Higashino			03/25/97
	H.	6,188,498 B1	02/13/01	Link et al.			07/15/98
	I.	6,400,737 B1	06/04/02	Broutin et al.			12/14/99
	J.	6,452,953 B1	09/17/02	Ushirozawa			07/29/99
	K.	6,480,314 B1	11/12/02	Kobayashi			04/27/99
	L.	6,891,867 B2	05/10/05	Serizawa			01/25/01
	M.	2002/0093999 A1	07/18/02	Tanaka			09/04/01
	N.	2003/0007525 A1	01/09/03	Chen			06/29/01
	O.	2004/0051938 A1	03/18/04	Chan et al.			09/16/02
	P.	2004/0264518 A1	12/30/04	Chang			07/26/04

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial		Document No.	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
							Yes	No
	Q.							

#### Other Documents

Examiner Initial	No.	Author, Title, Place (e.g. Journal) of Publication, Date
	R.	

<b>Examiner</b>	<b>Date Considered</b>
-----------------	------------------------

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.